Policy for Research and Intellectual Contribution

Faculty of Commerce and Management Studies, University of Kelaniya

Approvals:

Faculty Quality Assurance Cell   :

Faculty Board FCMS (Original)   :

Faculty Board FCMS (Revision)

Content

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Policy Functional Area : All academic of FCMS

1. Introduction

The policy for Research and Intellectual Contribution of the Faculty of Commerce and Management Studies (FCMS), University of Kelaniya, aims to encourage intellectual contributions that align with the school's mission. The school is driven by the mission of *'We at FCMS bring together futuristic, applied, and collaborative learning and research experiences to nurture and inspire holistic leaders who will actively contribute to making the world a better place'.* Its teaching, learning, research and all societal activities are designed to align with the mission statement. This mission statement is aligned with the University's vision and mission.

The policy identifies specific aims for research in the coming years and sets the direction for the university's future intellectual contributions. It provides direction and categorizations of research outcomes to faculty to facilitate their research goals. Faculty members are expected to conduct activities like publishing research papers, cases, books and book chapters, obtaining research grants, and serving the editorial board. Research and Intellectual Contribution are directly connected with the annual performance evaluation of the faculty members. This policy has been developed after consultation with the faculty.

2. Aims of the policy

The aims of the publication policy are as follows.

- To enhance the research profile of the FCMS
- To increase indexed publications of the academic staff of the FCMS
- To increase the indexed publication of the University of Kelaniya to meet international ranking requirements.
- To increase research collaboration in the FCMS
- To increase the intellectual contribution of FCMS

Intellectual Contributions (IC)

Intellectual Contributions can be any contribution in the following fields, but not limited to, by the permanent and visiting staff of FCMS.

- Publications in Peer-reviewed Journals (including indexed journals)

- Publications in Conference proceedings (National and International conferences recognized by FCMS – The list is attached in Annexure - 01)
- Books
- Study-Texts
- Book chapters
- Case studies
- Articles in professional publications
- Publications in Magazines
- Newspaper Articles
- Serving on editorial boards (including editor-in-chief)
- Receiving Research grants
- Patents
- Peer-reviewed academic proceedings
- Policy documents
- Keynotes
- Invited speeches
- Reports from consulting and projects
- Serving on review panels at conferences
- Reviewing journal papers

3. Minimum Expected Research Contribution

3.1 Faculty with Doctoral Level Qualification

The minimum expected research publication for doctoral-level qualification must be,

- At least three (03) research papers in peer-reviewed journals over a period of six (06) years **OR**
- Publish at least two (02) research papers in a peer-reviewed journal **and** publish/ present at least two (02) research papers in a research conference accepted by the FCMS over a period of six (06) years

Those awarded PhDs will be considered current for the subsequent five years. Faculty members who have completed five years post-PhD can choose to contribute to the intellectual contribution (IC) categories of Discovery Scholarship, Application Scholarship, or Teaching Scholarship.

3.2 Faculty with Master's Level Qualification

The minimum expected research publication for master-level qualification must be

- Publish at least three (03) ICs in research papers in a peer-reviewed journal **and/ or** in research conferences accepted by the FCMS over a period of six (06) years.

3.3 Faculty with bachelor's degree Qualification

The minimum expected research publication for Bachelor's degree level qualification must be

- Biennially publish at least one (01) research paper in a peer-reviewed journal **OR** annually publish/ present at least one (01) research paper in an international conference accepted by the FCMS.

3.3 Visiting Faculty from the Industry

This group of faculty focuses on skill development and application of knowledge. Therefore, the visiting faculty should maintain their currency and relevancy in the field of teaching through professional engagement, interaction, and activities as follows.

Professional engagement, interaction, and activities for Visiting faculty with a doctoral-level degree

Professional engagement, interaction, and activities are referred to, but not limited to,

- Serving as an executive-level employee in a private or state-owned corporation related to the area of teaching over 07 years of period,
- Serving in the capacity of Board of Directors (Executive or Non-executive)
- Providing business consultancies to medium and large companies in the preceding two (02) years
- Conducted executive development programs in the preceding two (02) years,

And they should publish**,**

- At least 02 research papers in indexed journals, **OR**

- 01 industry report, **AND** 02 publications in trade journals, monograms, and other outlets widely accessed by practitioners

in a block of **six years.**

Professional experience and engagement for Visiting Faculty with a Master-level degree

Professional engagement, interaction, and activities are referred to, but not limited to,

- ○ Holds a Professional membership (Fellowships or Associateships) in relevant teaching areas by Professional Institutions recognized by the University Senate in relation to the Board of Studies of the Commerce and Business Management, University of Kelaniya
- ○ Serving as managerial-level employee in a private or state-owned corporation related to the area of teaching over 05 years of period,
- ○ Providing business consultancies to medium and large companies in the preceding two (02) years
- ○ Conducted executive development programs in the preceding two (02) years

4. Classification of Intellectual Contribution

The school has classified peer-reviewed intellectual contribution as follows. Faculty members are expected to provide details of their publications based on these criteria.

Category	A	B	C	D
Publications of research papers	- The publications should be indexed in SSCI or - Scopus Q1 or Q2 Ranked, - A* or A in ABDC, - 4* or 4 in ABS	-The publications should be indexed in ESCI or - Scopus Q3 Ranked, - B in ABDC, - 3 or 2 in ABS	Publication ranked, - C in ABDC, -1 in ABS	Other national and international refereed journals are not contained in A, B or C categories.

Book Chapters	The book chapter should be peer-reviewed and published by a recognized international publisher.	The book chapter should be peer-reviewed and published by a recognized local publisher.	The book chapter should be peer-reviewed and published by any other international or local publisher	Editorially Reviewed Chapters or Non-Reviewed Chapters
Case studies	This usually contains reputed case warehouses such as Harvard Business School and Ivey School	This usually contains reputed case warehouses such as Sage, Emerald, Springer, Dardens	This usually contains case studies published by the University with ISBN.	This usually contains case studies published by FCMS/ Departments with ISBN
Books and Textbooks	Published with reputed international publishers like Tata McGraw Hill, Pearson, Wiley, Cambridge, Sage	Published with reputed local publishers like Expographics, Sarasavi, Godage, Gunasena	Published by the university	Published by the authors (author publication)
Conferences	Publications/ Presentations at Scopus-indexed Conferences	Publications/ Presentations at International Conferences recognized by FCMS	Publications/ Presentations at National Conferences recognized by FCMS	Abstract Publications/ Presentations at Symposiums recognized by FCMS

5.Awards for Publications

The school assesses and evaluates the quality of its intellectual contributions and has clarity on its future direction. In order to encourage the staff members to carry out high quality research and disseminate their research findings through the publication of research papers in high quality journals, the Research Council of the University of Kelaniya has implemented a scheme to recognize the researchers by awarding Senate Honours. To be qualified for Senate Honours, papers

should have been published in journals listed in Citation Indices (Science Citation Index Expanded or Social Sciences Citation Index or Arts and Humanities Citation Index or Association of Business Schools (ABS) (4* and 4) or Australian Business Deans Council (ABDC) (A* and A) or SCOPUS).

Terms and conditions for cash prices are; Impact Factor Citation Indices/ (4* and 4) journals in ABS, (A* and A) journals in ABDC. A cash award is also granted to the recipient based on pre-defined criteria for the publications in the mentioned categories.

Further, the University has introduced Vice-Chancellor's awards at the faculty / institute level are presented in the following categories, and the awardees are recognized annually.

1. Outstanding Researcher / Outstanding Young Researcher

2. The recipient (s) of the highest amount of external research grants

3. The researcher with the highest number of publications in indexed journals University-wide awards

4. The best inventors/ innovators (1st, 2nd, and 3rd)

5. The academic with the most outstanding creative production

6. The academic with the most outstanding creative literary work

7. The best promoter of international relations in the university

8. The researcher with the most publications from university (internal) research grants

9. The faculty with the highest number of research papers presented in research conferences/symposia organized by it and its departments/centers/units.

In order to recognize and felicitate the staff members of the University of Kelaniya who completed their research leading to patents and obtained national or international patent, the Research Council of the University have decided to implement a Senate honour and 'Cash Prize' award scheme. The aim of this scheme is to encourage academic staff in the University to carry out high-quality innovative research leading to the patent outcome and to develop an innovative research culture in

the university. The Research Council of the University decided to provide registration fees for the staff members to enable them to present their research findings at research symposia held in Sri Lanka. This scheme is initiated in order to encourage academics to carry out research which is important for national development and disseminate their research findings at the national level.

In order to enhance the research culture within the university and improve collaboration with international researchers, the University of Kelaniya has decided to provide funding to conduct research symposia at the Departmental level and Faculty levels in addition to the University level. The main objectives of this funding scheme are to improve the awareness of research carried out within the university among the staff and students, provide a platform to exchange ideas and disseminate research findings, and encourage research collaboration with other universities and institutions both within Sri Lanka and abroad.

The above well-established incentive structures at the university motivates the faculty members to improve their intellectual portfolios. This not only recognizes the efforts and contribution of the concerned faculty, but also acts as driving force and inspiration to the peer group faculty.

The CMR compiles and maintains a portfolio of intellectual contributions. Awards, recognition, editorships, and other forms of accomplishments of faculty also form qualitative criteria for deciding the quality of intellectual contributions. Awards and grants will also display a true reflection of the FCMS research contribution to the industry collaboration, and social commitment. Therefore, FCMS encourage faculty to apply for awards and grants from national and international agencies.

6. Annual Performance Appraisal for Faculty Members

Research and intellectual contribution are a key aspect of the annual performance appraisal for faculty members. A maximum of 25 points will be awarded for research and scholarly works, and evaluation criteria are as follows.

(a)		Research grants received
	I	Funds received from international sources. (List funding agencies and amounts/LKR) (1 mark per US$ 1,000)

	II	Funds received from national sources or reputed organization/s. (List funding agencies and amounts/LKR) (1 mark per Rs. 300,000)
(b)		Articles published/presented
	I	Publications in Scimago Journal Ranking (SJR*) Q1 or Q2 journals or SCI, SSCI (5 marks per paper)
	II	Publications in SJR Q3 or Q4 journals or ESSI (4 marks per paper)
	III	Publications in Sri Lanka Journals Online (SLJOL**) (3 marks per paper)
	IV	Publications in other referred journals (2 marks per paper)
(c)		Presentations and publications at academic conferences/research symposia
	I	Published as an abstract (0.5 per abstract)
	II	Published as a full paper in SCOPUS-indexed conference proceedings (2 marks per paper)
	III	Published as a full paper in other conference proceedings (1 mark per paper)
	IV	Presented at a conference (0.5 mark per presentation)
(d)		Citations and references
		Citation in refereed journals and scholarly publications (Excluding self-citations/ during the considered period) (0.5 marks per citation) Note: Maximum of 5 marks for a refereed journal publication
(e)		Dissemination of research output
	I	International patents (5 marks per patent)
	II	National patents (3 marks per patent)
	III	Commercialized products (2 marks per product)
(f)		Organizing research conferences, symposia, workshops, etc. conducted by the University
		Served as a member of the organizing committee of research conferences, symposia, workshops, …etc.
		(0.5 marks per position)
(g)		Author of books and book chapters

	I	Chapters in books published by reputed publishers recognized by scientific academic or professional bodies. (up to 3 marks per chapter)
	II	Chapters in books published by other publishers. (1 mark per chapter)
	III	Books published by reputed publishers. (5 marks per book)
	IV	Books published by other publishers (3 marks per book).
	V	Translating of monographs/books. (3 marks per monograph or book)
(h)		Other
	I	Documented orations and keynote addresses (2 marks per each)
	II	Plenary addresses (1 mark per each)
	III	Chairing a conference session (0.5 per each)
	IV	Creative work -creative work in literature, culture, theatre, music, dancing, arts and design in the academic discipline(5 marks per work)
	V	Conference Chair, Co-Chair, Secretary and Treasurer (1 mark per event)

Editorial Guide & Checklist for Local Journals, Editors, and Reviewers

Local (Sri Lankan) publications might not be indexed in the Web of Science, Scopus, or in any of the above lists. Therefore, the following guidelines should be considered when evaluating the editorial process of these journals

- Consist of peer-reviewed content and have a publicly available description of the peer review process

- Be published on a regular basis and have an International Standard Serial Number (ISSN) as registered with the ISSN International Centre

- Have a publicly available publication ethics and publication malpractice statement

The following are useful sources for information:

"High Quality Journal" Checklist *[Scopus.com]*

Category	Criteria
Journal Policy	Convincing editorial policy Type of peer review Diversity in geographical distribution of editors Diversity in geographical distribution of authors
Content	Academic contribution to the field Clarity of abstracts Quality of and conformity to the stated aims and scope of the journal Readability of articles

Category	Criteria
Journal Standing	Editor standing
Publishing Regularity	No delays or interruptions in the publication schedule
Online Availability	Full journal content available online English language journal home page available Quality of journal home page

Annexures

Annexure 01- Reputable Journals (Whitelist) to Publish Scholarly Work recognized by Research Council of UOK.

1. Multidisciplinary:
   - Scopus
   - Web of Science Core Collection
   - Dimensions
   - DOAJ (Directory of Open Access Journals)
   - ProQuest Central
   - JSTOR
   - PLoS
   - WorldCat
   - CABS
   - ABDC
   - ScienceDirect

2. Social Sciences:
   - Scopus
   - Web of Science Core Collection
   - PsycINFO
   - EconLit
   - Sociological Abstracts
   - Anthropology Plus
   - ERIC (Education Resources Information Center)
   - JSTOR
   - Political Science Complete
   - Communication & Mass Media Complete
   - IBSS (International Bibliography of the Social Sciences)

3. Business and Economics:
   - Scopus
   - Web of Science Core Collection
   - ABI/INFORM Collection
   - EconLit
   - Business Source Complete
   - Factiva
   - Emerald Insight
   - ProQuest Business
   - SSRN (Social Science Research Network)
   - RePEc (Research Papers in Economics)
   - Business Abstracts with Full Text
   - EconBiz

4. Law:
   – Scopus
   – Web of Science Core Collection
   – Westlaw
   – LexisNexis Academic
   – HeinOnline
   – Legal Source
   – Index to Legal Periodicals
   – ProQuest Criminal Justice
   – JSTOR Law Collection
   – WorldLII (World Legal Information Institute)
   – BAILII (British and Irish Legal Information Institute)
   – Australasian Legal Information Institute (AustLII)

5. Education:
   – Scopus
   – Web of Science Core Collection
   – ERIC (Education Resources Information Center)
   – PsycINFO
   – Education Full Text
   – Education Research Complete
   – Teacher Reference Center
   – Education Source
   – British Education Index
   – Australian Education Index

6. Psychology and Behavioral Sciences:
   – Scopus
   – Web of Science Core Collection
   – PsycINFO
   – PubMed
   – PsycARTICLES
   – PsycTESTS
   – Psychiatric Online
   – PsycBooks
   – Social Psychology Network
   – ProQuest Psychology Journals
   – Psychology & Behavioral Sciences Collection
   – ScienceDirect

Predatory Reporting Sources

1. **Beall's List (but now discontinued)**: Beall's List, created by librarian Jeffrey Beall, was a widely referenced resource that identified potential predatory publishers and standalone predatory journals. However, the list was taken down in 2017, and its content is no longer maintained or updated.

2. **Cabell's Blacklist**: Cabell's International maintains a blacklist of journals that are suspected of predatory practices. The Cabell's Blacklist includes information on various questionable journals and publishers, highlighting potential ethical concerns.

3. **DOAJ (Directory of Open Access Journals)**: DOAJ is an online directory that indexes high-quality open access journals. While it primarily focuses on listing reputable journals, it also maintains a "DOAJ Seal" initiative, which highlights journals that meet specific quality criteria, ensuring they are not predatory.

4. **Think.Check.Submit.**: Think.Check.Submit. is a campaign that offers a checklist to help researchers evaluate the credibility of a journal before submitting their work. While it does not maintain an index of predatory journals, it provides guidance on how to assess the legitimacy of a publication.

5. **Research Publishing Integrity**: Research Publishing Integrity is an organization that offers a service to help researchers identify predatory publishers and journals. They provide reports and assessments of journals based on their practices, transparency, and ethical standards.

Annexure 2: Criteria for evaluating International Conferences

1. Reputation and Credibility

A. Organizers and Sponsorship:

Established Institutions: Check if the conference is organized or sponsored by reputable institutions, professional organizations, or academic societies.

Previous Editions: Look at the history of the conference and its past editions to gauge its track record.

B. Conference Scope and Focus:

Relevance: Ensure the conference covers topics that align with relevant research or professional interests.

Scope: Evaluate whether the conference addresses current trends and significant issues in the field.

2**. Quality of Content**

A. Keynote Speakers and Presenters:

Expertise: Review the credentials and expertise of keynote speakers and invited speakers.

Diversity: Consider the range of speakers and their contributions to the field.

B. Accepted Papers and Sessions:

Peer Review Process: Check if the conference employs a rigorous peer review process for paper submissions.

Paper Quality: Look into the quality and relevance of accepted papers and sessions from previous years.

3. Publication and Dissemination

A. Proceedings and Publications:

Proceedings Quality: Determine if the conference proceedings are published in reputable journals or indexed databases (e.g., Scopus, IEEE Xplore, SpringerLink).

Visibility: Evaluate the impact and reach of the conference publications.

B. Citation and Impact:

Citations: Check if papers presented at the conference are frequently cited in relevant literature.

Impact Factor: Consider the impact factor of the journals where conference papers are published, if applicable.

Annexure 03 - List of National Conferences

- International Conference on Business and Information (ICBI), University of Kelaniya
- International Conference for Accounting Researchers and Educators (ICARE), University of Kelaniya
- International Conference on Advanced Marketing (ICAM), University of Kelaniya
- International Student Research Conference in Marketing (ISRCM), University of Kelaniya
- International Research Conference on Management and Finance (IRCMF), University of Colombo
- International Undergraduate Finance Research Conference (IUFRC), University of Peradeniya
- International Conference on Business Management (ICBM) , University of Sri Jayewardenepura
- International Conference on Real Estate Management and Valuation (ICREMV), University of Sri
- Jayewardenepura
- International Conference on Contemporary Management, University of Jaffna
- International Conference on Management and Economics (ICME), University of Ruhuna
- International Conference on Management and entrepreneurship (COME), The Open University of Sri
- Lanka
- Annual International Research Conference (AIRC), South Eastern University of Sri Lanka
- International Research symposium on Management (IRSM), Rajarata University of Sri Lanka
- Interdisciplinary Conference on management Researchers (ICMR), Sabaragamuwa University of Sri Lanka
- International Research Conference of Uva Wellassa University (IRCUWV), Uva Wellassa University
- Wayamba University Research Congress (WURC), Wayamba University of Sri Lanka

- Research Conference on Business Studies (RCBS), University of Vauniya, Sri Lanka
- Other research conferences conducted by the institutes recognized by UGC of Sri Lanka.

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